

(Practitioner's Docket No. IN-5475)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	
BRADFORD, et al.	Group Art Unit: 1711
Serial No.: 09/940,748	Group Art Unit: 1711
Filed: August 28, 2001	Examiner: BERMAN, SUSAN W.
For: DUAL CURE COATING COMPOSIT SAME	ION AND PROCESSES FOR USING THE
Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450	
INFORMATION DISC Dear Sir:	LOSURE STATEMENT
Applicant(s) submit(s) herewith patents, pub aware that they believe may be material to the exam there may be a duty to disclose in accordance with 3	
X Submitted herewith is Form PTO-1449 (Moinformation for consideration by the Office.	odified) listing patents, publications, or other
COPIES PROVIDED:	
X Legible copies of all items listed on Form F except as indicated below:	TO-1449 accompany this information statement,
No. [], filed on [].	es on the earlier filing date of prior application Serial The following references were submitted to and/or and are therefore not required to be provided in this
	opy of only [] is being submitted with this mulative of the following patents or publications listed
	correct, but the abstract, specification, and claims are bibliographic page for Publication No. [] is

Information Disclosure Statement Page 2 FOREIGN LANGUAGE DOCUMENTS There are no foreign language documents listed on Form PTO-1449. The following non-English language references were cited in the specification. The English language equivalents for these references are being submitted in substitution for the non-English references. English language abstract for the following non-English references are enclosed. DE3828098 DE4011045 DE4020316 DE4025215 JP06-286008 EP0872502 DE19826715 Submitted herewith is an English translation of the following foreign language patents, publications or information or of portions of those patents, publications or information considered to be material: USSN 10/009.394 filed 10/25/01 for DE 199 20 801 USSN 09/263.426 filed 05.03.1999 for EP 940 459 No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except for those listed above. The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith: Submitted herewith is an English language version of a search report listing information not in the English language and indicating the degree of relevancy found by the European Patent Office, in satisfaction of the requirement (under 37 C.F.R. §1.98(a)(3)) for a concise explanation of the relevance of non-English information. A concise explanation of the relevance of the following listed non-English language information is included as presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information as set forth below: A concise explanation of the relevance of the following listed non-English language information is set forth in the above-identified specification: **CERTIFICATION:** The Information Disclosure Statement transmitted herewith is being filed within three (3) months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 C.F.R. §1.97(b). Accordingly, it is believed that no certification or fee is due. The Information Disclosure Statement transmitted herewith is being filed after three (3) months of the filing date of the application or the date of entry into the national stage of an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but before the mailing date of either a final action under 37 C.F.R. §1.113 or a

Notice of Allowance under 37 C.F.R. §1.311, whichever occurs first. Accordingly, I hereby certify

that:

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ci	ted in a communication from a for	ntained in the Information Disclosure Statement was reign patent office in a counterpart foreign application not the filing of the statement; 37 C.F.R. §1.97(e)(1); OR		
	in a communication from a foreimy knowledge as the person sign	ntained in the Information Disclosure Statement was cited gn patent office in a counterpart foreign application or, to gning this certification after making reasonable inquiry, signated in §1.56(c) more than three (3) months prior to .F.R. §1.97(e)(2); OR		
х	an information disclosure staten	ay the fee set forth in 37 C.F.R. §1.17(p) for submission of nent under 37 C.F.R. §1.97(c). Please charge the BASF Corporation Deposit Account No. 23-3425 . A ed.		
X The Information Disclosure Statement transmitted herewith is being filed After a Final Action under 37 C.F.R. §1.113, or After a Notice of Allowance under 37 C.F.R. §1.311, whichever occurs first, but Before Payment of the Issue Fee . Accordingly, I hereby certify that:				
	cited in a communication from a	contained in the Information Disclosure Statement was a foreign patent office in a counterpart foreign application prior to the filing of the statement; 37 C.F.R. §1.97(e)(1);		
	in a communication from a forei my knowledge as the person sig	ained in the Information Disclosure Statement was cited gn patent office in a counterpart foreign application or, to gning this certification after making reasonable inquiry, signated in §1.56(c) more than three (3) months prior to .F.R. §1.97(e)(2); AND		
х	C.F.R. §1.97(d)(2)(ii). Please cl	onsideration of this Information Disclosure Statement; 37 harge the petition fee of \$130.00 to BASF Corporation A duplicate of this paper is enclosed.		
The Commissioner is authorized to charge BASF Corporation Deposit Account No. 23-3425 for any additional fees due hereunder.				
Reg. No.: 42,	906	MAC Many SIGNATURE OF ATTORNEY and DATE		
		Michael F. Morgan September 22003 Type or print name of attorney		
Tel. No.: (248	3) 948-2355	BASF Corporation 26701 Telegraph Road P.O. Address		
		Southfield, Michigan 48034-2442		

Customer No. 26922

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US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Atty. Docket No. IN-5475

In re Application of: BRADFORD et al.

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Serial No.: 09/940,748

Filed: August 28, 2001

TRAI		U.S. PA	TENT DOCUMENTS	<u></u>		
Examiner initial	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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	2003/0078316	4/24/03	Bradford et al.	522	126	8/28/01
	2003/0078315	4/24/03	Bradford et al.	522	126	8/28/01
	2003/0077394	4/24/03	Bradford et al.	427	407.1	4/28/01
	2003/0023017	1/30/03	Rink er al.	526	301	1/24/01
	4,013,806	3/22/77	Volkert et al.	427	54	12/4/73
	4,025,407	5/24/77	Chang et al.	204	159.14	11/4/74
	4,128,600	12/5/78	Skinner et al.	260	859	1/14/77
	4,139,385	2/13/79	Crivello	96	35.1	1/14/77
	4,192,762	3/11/80	Osborn et al.	252	182	4/20/78
	4,212,901	7/15/80	van Neerbos et al.	427	53.1	7/10/78
	4,247,578	1/27/81	Skinner et al.	427	44	9/25/78
	4,268,542	5/19/81	Sakakibara et al.	427	195	1/25/79
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	4,415,604	11/15/83	Nativi	427	54.1	11/12/82
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	4,481,093	11/6/84	Murphy et al.	204	159.19	9/30/83
	4,526,939	7/2/85	Lewarchik et all.	525	438	7/18/83
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	5,356,669	10/18/94	Rehfuss et al.	427	407.1	10/23/92
EXAMINER			DATE CONSIDERED			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *Applicant has modified this form by deleting the word "prior".

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LIST OF ART CITED BY APPLICANT

(Use sever of sheets if necessary)

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Serial No.: 09/940,748

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U.S. PATENT DOCUMENTS CO TRACES Examiner DOCUMENT NUMBER NAME CLASS SUBCLASS FILING DATE IF DATE **APPROPRIATE** 6/20/95 427 493 Lahrmann et al. 5/6/93 5,425,970 9/26/95 Sokol 522 42 3/29/93 5,453,451 10/31/95 Williams et al. 428 345 2/8/94 5,462,797 Rehfuss et al. 427 407.1 5/11/94 12/12/95 5,474,811 12/3/96 Amberg-Schwab et al. 427 493 9/7/95 5,580,614 2/11/97 Kranig et al. 427 386 6/23/93 5,601,878 2/25/97 Rehfuss et al. 525 11/3/94 100 5,605,965 D"Herbecourt et al. 327 2/1/94 5,626,958 5/6/97 428 11/25/97 5/19/92 5,691,419 Engelke et al. 525 208 2/10/98 5,716,678 Röckrath et al. 427 407.1 3/26/94 7/13/99 Muthiah et al. 428 481 12/26/96 5,922,473 5,965,213 10/12/99 Sacharski et al. 427 475 4/4/96 1/25/00 Muthiah et al. 428 514 4/23/99 6,017,640 6,159,556 12/12/00 Möller et al. 427 475 2/6/98 528 9/21/98 1/23/01 Schwalm et al. 49 6,177,535 428 4/1/99 6,242,101 6/5/01 Schealm et al. 425.8 524 6,265,476 7/24/01 Krongauz et al. 262 10/4/99 6,332,291 12/25/01 Flosbach et al. 52 91 4/22/99 12/25/01 427 496 3/6/98 Maag et al. 6,333,077 2/5/02 Sierakowski et al. 523 410 3/31/99 6,344,501 Kadambande et al. 524 560 10/22/99 6,476,121 11/5/02 522 6,482,869 11/19/02 Bolte et al. 35 12/1/98 3/`18/03 Kron et al. 447 4/27/01 6,534,187 428 **EXAMINER** DATE CONSIDERED

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In re Application of: BRADFORD et al. RECEIVED

Serial No.: 09/940,748

Filed: August 28, 2001

FOREIGN PATENT DOCUMENTS COUNTRY CLASS SUBCLASS TRANSLATED DOCUMENT NUMBER initial YES NO 075/14 92.07.03 CA C08L X AA CA2 073 115 92.09.30 CA **B05D** 003/06 Х AB CA2 079 498 08.03.90 **C08G** 77/58 Х AC DE38 28 098 DE X 10.10.91 DE **B05D** 7/02 AD DE40 11 045 183/04 AE DE40 20 316 09.01.92 DE C09D X AF 13.02.92 C08G 59/50 X DE40 25 215 DE AG 16.11.00 DE B05D 7/24 Х DE199 20 801 201/02 AH EP 0 594 068 15/10.93 EP C09D Х EP C09D 201/02 Χ 15.10.93 Αſ EP 0 594 071 20.10.93 EP C08L 57/12 Х AJ EP 0 594 142 04.07.96 EP **B05D** 1/00 X AK EP 0 753 358 EP 5/03 X AL EP 0 844 286 23.12.97 C09D X 11.05.94 WO C08F 8/30 AM WO 94/10211 ΑN WO 94/10212 11.05.94 WO C08F 8/30 Х 11.05.94 WO C08F 8/30 X AO WO 94/10213 AP EP 18/68 EP 0 872 502 19.03.98 **C08G** Х wō 02.05.02 C08G 18/67 Χ AQ WO 02/34808 AR 04.08.94 DE C08G 18/67 X DE 43 02 327 AS CA2 153 581 94.01.20 CA **C08G** 18/67 Х 28.01.99 C07C 69/54 AT DE 198 26 715 DE ΑU 12.08.77 GB C08F 226/02 GB 1 583 412 Х 7.2.02 WO C09D 4/06 X ΑV WO 02/10292 ΕP 24.02.99 C09D 201/00 Х AW EP 0 940 459 AX AY AZ

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	AA	English Abstract for DE3828098		
	AB	English Abstract for DE4011045		
	AC	English Abstract for DE4020316		
	AD	English Abstract for DE4025215		
	AE	English Abstract for JP06-28600		
	AF	English Abstract for EP0872502		
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